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WC 04-382

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# Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

WC Docket No. 04-	
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	WC Docket No. 04-

#### **APPLICATION**

The Guam Telephone Authority ("GTA" or "Assignor") and TeleGuam Holdings, LLC ("TeleGuam" or "Assignee") (together "Applicants"), through their undersigned counsel and pursuant to Section 214 of the Communications Act of 1934, as amended, and Section 63.04(a) of the Commission's rules, request Section 214 authority to enable TeleGuam to acquire the assets of GTA used to provide fixed line local exchange and interstate access services, wireless services, and wholesale digital subscriber line services in Guam. TeleGuam is an entity owned by Shamrock TeleGuam Holdings, L.L.C. and Robert Taylor for the purpose of carrying out the transaction discussed in this Application.

<sup>47</sup> U.S.C. § 214 (the "Act").

<sup>&</sup>lt;sup>2</sup> 47 C.F.R. § 63.04(a).

GTA is the incumbent fixed line carrier in the Territory of Guam and is one of the last government-owned carriers in the United States. In Public Law 24-36 the Guam Legislature found that privatizing GTA would enable the Government of Guam ("GovGuam") to protect its investment in GTA and ensure the continued provision of effective and affordable telecommunications. On March 1, 2004, GTA issued a Request for Proposals ("RFP") seeking offers for the purchase of its assets and business.

On June 4, 2004, TeleGuam responded with a proposal to purchase the assets of GTA.

Of the offers submitted, GTA concluded that TeleGuam's offer best met the privatization objectives of: 1) addressing GTA employee issues; 2) containing rates and improving service; 3) retaining local identification; 4) assuring GTA's future viability; 5) sustaining telecommunications growth; 6) minimizing the financial exposure of GovGuam; and 7) receiving fair value for GTA's assets and business. Therefore, GTA entered into negotiations with TeleGuam, and on August 31, 2004, GTA, GovGuam, and TeleGuam executed an Asset Purchase Agreement (the "APA"). Pursuant to the terms of the APA, TeleGuam will purchase substantially all of GTA's assets (excluding land owned by GTA or GovGuam and certain other assets) used by GTA in the provision of its wireline and wireless services, and will assume GTA's principal contractual rights and obligations. In consideration for GTA's assets and business, TeleGuam will pay \$140 million in cash, deliver a promissory note in the principal amount of \$10 million, and assume certain liabilities of GTA.

Consummation of the proposed transaction is contingent upon its approval by the

Commission, the Legislature of Guam, and the Governor of Guam, the passage of new

telecommunications legislation in Guam, and the satisfaction of various other conditions.

Applicants expect the Legislature and the Governor to approve the sale and enact the necessary

legislation promptly. Therefore, the Applicants encourage the FCC to act expeditiously on this

application so that the citizens and businesses of Guam can obtain the benefits of privatization

and improved services. As discussed below, this application qualifies for streamlined treatment

under the FCC's rules, and the applications request streamlined processing.

Applicants emphasize that the transition of GTA's telecommunications services to

TeleGuam will be seamless and virtually transparent to GTA's customers. In accordance with

applicable requirements, the Applicants will provide written notice to affected customers of GTA

informing them of the proposed transaction and file the notice and certification required by Section

64.1120(e) of the Commission's Rules. Service to these customers will not be transferred until the

requisite approvals have been obtained. Consummation of the proposed transaction will not result

in the discontinuance, reduction, loss, or impairment of service to GTA's customers. To the

contrary, Applicants expect the proposed transaction to result in improved service and a wider

range of available services for the consumers and businesses of Guam.

**INFORMATION REQUIRED BY SECTION 63.04(a)** 

Applicants submit the following information in support of their request for Section 214

authority pursuant to Section 63.04(a) of the Commission's rules.

Name, Address and Telephone Number of Each Applicant (1)

Assignor:

Guam Telephone Authority

624 North Marine Drive Tamuning, Guam 69611

Tel:

(671) 646-2100

Fax:

(671) 649-4821

FRN: 0003756640

3

Assignee:

TeleGuam Holdings, LLC

c/o Shamrock Capital Advisors, Inc.

4444 Lakeside Drive Burbank, CA 91505 Tel: (818) 845-4444 Fax: (818) 559-7320 FRN: 0011458999

(2) State of Organization

Assignor:

GTA is an autonomous agency of the Government of Guam.

Assignee:

TeleGuam is a Delaware limited liability company.

(3) Contact Persons for this Application

Questions or inquiries concerning this Application may be directed to:

Assignor:

Veronica M. Ahern

Nixon Peabody LLP

401 9<sup>th</sup> Street, N.W., Suite 900 Washington, D.C. 20004 Tel: (202) 585-8321

Fax: (202) 585-8080

Email: vahern@nixonpeabody.com

Assignee:

Paul O. Gagnier

Ulises R. Pin

Swidler Berlin Shereff Friedman, LLP

3000 K Street, N.W., Suite 300 Washington, D.C. 20007-5116

Tel: (202) 424-7500 Fax: (202) 424-7645

Email: POGagnier@swidlaw.com

URPin@swidlaw.com

(4) Name, Address, Citizenship and Percentage Ownership of Entities that Own at Least 10 percent of the Equity or Voting Rights of the Applicants.

#### Assignor:

Not applicable. GTA is an autonomous agency of the Government of Guam.

#### Assignee:

An organizational chart for TeleGuam is included as Attachment A.

(a) The sole entity holding a direct 10% or greater interest in TeleGuam is:

Name:

Shamrock TeleGuam Holdings, L.L.C. ("STH")

Address:

4444 Lakeside Drive

Burbank, CA 91505

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

87.27% (direct)<sup>3</sup>

(b) STH is wholly-owned by:

Name:

Shamrock Capital Growth Fund, L.P. ("SCGF")

Address:

4444 Lakeside Drive

Burbank, CA 91505

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

87.27% (indirect)

(c)(i) The general partner of SCGF is:

Name:

Shamrock Capital Partners, L.L.C. ("SCP")

Address:

4444 Lakeside Drive

Burbank, CA 91505

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

0.27% (indirect)

Although SCP holds less than a 10% indirect interest in TeleGuam, it is the general partner of SCGF and it is responsible for SCGF's investment strategy and day-to-day operations and management.

(ii) SCGF also has the following limited partners who, through their holdings in SCGF, indirectly hold a 10% or greater interest in TeleGuam. The limited partners do not exercise control over the investment strategy of SCGF or the day-to-day operations and management of SCGF or TeleGuam.

The remaining 12.73% direct interest in TeleGuam is currently owned by Robert Taylor or is being held for the future benefit of Mr. Taylor and other members of TeleGuam's management. No such person, including Mr. Taylor, currently holds a 10% or greater interest in TeleGuam. Mr. Taylor and the other members of TeleGuam's management are all U.S. citizens.

(A) Name:

Shamrock Holdings of California, Inc.

("SHOC")

Address:

4444 Lakeside Drive Burbank, CA 91505

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

27.10% (indirect)

(B) Name: MRCo, Inc. ("MRCo")

Address:

111 Massachusetts Avenue, N.W.

Washington, DC 20001

Citizenship:

U.S.

Principal Business:

Investment 13.55% (indirect)

Interest in TeleGuam:

(C) Name: SC Strategies LLC ("SC")

Address:

10100 Santa Monica Boulevard

Los Angeles, CA 90067

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

11.93% (indirect)

(D) Name: General Electric Pension Trust ("GEPT")<sup>4</sup>

Address:

c/o GE Asset Management Incorporated

3003 Summer Street, PO Box 7900

Stamford, CT 06904

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

17.62% (indirect)

(d)(i) The ownership of SCP is widely dispersed among various interest holders. All of those persons and entities are U.S. citizens or are formed in the United States and owned and controlled by U.S. persons. None of those persons or entities holds a 10% or greater equity or voting interest in TeleGuam.

GEPT is a pension trust established by General Electric Company for the benefit of its current and retired employees, the majority of whom are U.S. citizens. None of those persons has a 10% or greater interest in TeleGuam.

#### (ii) SHOC is wholly-owned by:

Name:

Shamrock Holdings, Inc. ("SHI")

Address:

4444 Lakeside Drive Burbank, CA 91505

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

27.10% (indirect)

The ownership of SHI is widely dispersed among various shareholders. All of those persons and entities are U.S. citizens or are formed in the United States and owned and controlled by U.S. persons. None of those persons or entities holds a 10% or greater equity or voting interest in TeleGuam.

#### (iii) MRCo is wholly-owned by:

Name:

ULLICO, Inc. ("ULLICO")5

Address:

111 Massachusetts Avenue, N.W.

Washington, DC 20001

Citizenship:

U.S.

Principal Business:

Investment

Interest in TeleGuam:

13.55% (indirect)

The shares of ULLICO are widely held. No shareholder of ULLICO holds a 10% or greater interest in TeleGuam.

(iv) SC is wholly-owned through one or more intermediate entities by:

Name:

Haim Saban and Cheryl Saban

Address:

10100 Santa Monica Boulevard Los Angeles, CA 90067

Citizenship:

U.S.

Principal Business:

Communications and Investment

Interest in TeleGuam:

11.93% (combined indirect)<sup>6</sup>

(5) Certification. TeleGuam and GTA each certify pursuant to Sections 1.2001 through 1.2003 of the Commission's rules that no party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 3301.

ULLICO is the only fully-unionized provider of multi-line insurance, financial services and administrative products in the United States. All of its directors and executive officers are U.S. citizens and the ultimate beneficiaries of its services are unionized U.S. workers.

Haim Saban has a long career in the communications and mass media industries. Cheryl Saban is his wife. Neither Haim Saban nor Cheryl Saban individually holds a 10% or greater interest in TeleGuam. Applicant provides their combined ownership in the interest of completeness.

(6) Description of the transaction. On March 1, 2004, GovGuam-issued an RFP seeking offers for the purchase of the assets and business of GTA. On June 4, 2004, TeleGuam responded with a proposal to purchase the assets of GTA pursuant to the terms of the RFP. GTA subsequently accepted TeleGuam's proposal, and on August 31, 2004, GTA, GovGuam, and TeleGuam entered into the APA.

A description of the transaction is found at the beginning of this Application.

(7) Geographic description of areas served. GTA is an incumbent local exchange carrier and wireless provider that operates exclusively on the island of Guam. GTA provides local and long distance access services, as well as wholesale digital subscriber line service. GTA also provides wireless telecommunications services on the 835.020–844.980, 846.510-848.970, 880.020-889.980, and 891.510-893.970 MHz (Frequency Block B) frequencies and the 1885.00–1890.00 and 1965.00-1970.00 MHz (Frequency Block E) frequencies. As of March 2004, GTA served 65,531 switched access lines and 4,045 wireless access lines.

TeleGuam is not currently a telecommunications carrier.

- (8) Streamlined Treatment. This application is eligible for presumptive streamlined treatment under Section 63.03(b)(1)(ii) of the Commission's rules. The Commission has stated that streamlined treatment will be "presumptively accorded" to "proposed transactions where one party provides no domestic telecommunications services." TeleGuam and its direct and indirect owners do not provide domestic telecommunications services and do not have an ownership interest in any company that provides domestic telecommunications services. Accordingly, the acquisition of GTA's assets will not result in an increased concentration in any market for telecommunications services in Guam. Thus, this transaction raises no competitive or public interest concerns and this application should be afforded streamlined treatment.
- (9) Related FCC Applications. GTA holds two common carrier wireless licenses KNLF917 and KNKQ317 -- and has filed an application with the Wireless Telecommunications Bureau for the assignment of those licenses to TeleGuam pursuant to Section 310 of the Act. See FCC File No. 0001864633. As noted previously, GTA will file the notice and certification required by Section 64.1120(e) of the Commission's rules.
- (10) <u>Special Consideration</u>. Applicants are not requesting special consideration of the application.
- (11) <u>Waiver Requests</u>. No waiver requests are required in conjunction with the proposed transaction.

Implementation of Further Streamlining Measures for Domestic Section 214 Authorizations, 17 FCC Rcd 5517, para. 3 (2002); see 47 C.F.R. 63.03(b)(1)(ii).

(12)Public Interest Considerations. The proposed transaction will serve the public interest because it will result in the privatization of GTA, the government-owned incumbent local exchange carrier in Guam, while at the same time bettering the lives of citizens in Guam by improving the level of service currently provided by GTA and expanding the range of services available to them. In addition, TeleGuam plans to create exciting job opportunities for the existing employees at GTA while generating new employment opportunities through the expansion of GTA's activities. GTA's customers will benefit from TeleGuam's five year freeze of basic local rates. Finally, TeleGuam will make substantial investments in the telecommunications infrastructure of Guam. In short, TeleGuam will build upon GTA's existing strengths while dramatically strengthening the company. This will provide substantial and tangible benefits to the citizens and businesses of GTA without any countervailing public interest harms.

The FCC has a long history of encouraging the privatization of government-owned telecommunications carriers and the liberalization of telecommunications markets throughout the world. In the FCC's Foreign Participation Order, the FCC recognized that the goal of its U.S. entry policies was to "encourage simultaneous liberalization and privatization" in other countries. The FCC has similarly favored the privatization of government-owned carriers in the U.S. By approving this Application, the FCC will be furthering such efforts by helping GovGuam secure much needed revenue by privatizing one of the last remaining government-owned telecommunications companies in the United States.

As GTA and GovGuam have recognized by entering into the APA, TeleGuam is ideally situated to bring great improvements to the existing operations of GTA, and thereby improve the lives of GTA's employees and the citizens of Guam. TeleGuam is an entity owned by Shamrock TeleGuam Holdings, L.L.C. ("STH") and Robert Taylor for the purpose of carrying out the transaction discussed in this Application, and includes a management team with over 40 years of direct telecommunications leadership experience with some of the largest, most successful telecommunications providers in mainland United States. For instance, TeleGuam's Chief Executive Officer is Bob Taylor who has over twenty years of experience in telecommunications, including top management and ownership positions with several U.S. CLECs. Likewise, STH is part of a private equity firm with a successful 25 year history built investing in middle market companies in communications and media. It has the resources and experience to complete the acquisition and provide strategic insight to TeleGuam.

<sup>&</sup>lt;sup>8</sup> Rules and Policies on Foreign Participation in the U.S. Telecommunications Market, 12 FCC Rcd 23891 (1997)("Foreign Participation Order") at para. 142.

See, e.g., Telefonica Larga Distancia de Puerto Rico and LD Acquisition Corporation, 8 FCC Fcd 106 (1992) at para. 45 ("We believe privatization of TLD will encourage competition, lower rates, and bring better service to the public. Therefore, the Commission welcomes the Puerto Rico government's efforts to privatize TLD in an effort to improve telecommunications services in Puerto Rico.")

Finally, TeleGuam, through the APA, has committed to GovGuam to make significant investments in the operations and network of GTA such that the resulting new telephone company will be a world-class provider of wireline and wireless telecommunications services. TeleGuam will work to ensure that users have access to efficient and cost-effective local and long distance services that will allow them to communicate with anyone on Guam or around the world, without reduction, impairment or discontinuance of service to any customer. In particular, TeleGuam will keep basic local rates flat for five years, and intends to expand telecommunications services such as offering customers broadband Internet, long-distance, wireless and digital cable services, and improve conditions for employees by raising salaries, offering five-year contracts, comprehensive benefits and cost-of-living-salary adjustments. All of these changes will result in tangible benefits to GTA's current employees and customers. The overwhelmingly positive reception to the news of this transaction is evidence of the benefits to Guam.<sup>10</sup>

Accordingly, the assignment of GTA's assets to TeleGuam will benefit the customers and employees of GTA and will not have any adverse effect on the services provided by GTA. There will be no reduction in the number of competitors or the level of competition in Guam as a result of the assignment of assets. To the contrary, the proposed transaction will result in a stronger, more competitive GTA that can better meet the communications needs of the consumers and businesses of Guam. In addition, as a result of privatization, the current limits on the types of services that GTA can provide will be eliminated, and TeleGuam will be able to expand the number of services offered, thus further enhancing competition in Guam. Accordingly, Applicants respectfully submit that the proposed transaction will serve the public interest.

Gene Park, "GTA buyer offers \$150M; Agency's manager, directors all sign agreement," Pacific Daily News (Sept. 1, 2004), available at <a href="http://www.guampdn.com/news/stories/20040901/">http://www.guampdn.com/news/stories/20040901/</a> localnews/1150735.html

#### **CONCLUSION**

For the reasons stated above, Applicants respectfully submit that the public interest, convenience, and necessity would be furthered by a grant of this Application.

Respectfully submitted,

By: Voranca M. Share

Veronica M. Ahern
NIXON PEABODY LLP
401 9<sup>th</sup> Street, N.W., Suite 900
Washington, D.C. 20004

Tel: (202) 585-8321 Fax: (202) 585-8080

Dated:

Counsel to The Guam Telephone Authority

September 14, 2004

By:

Paul O. Gagnier Ulises R. Pin

SWIDLER BERLIN SHEREFE FRIEDMAN, LLP

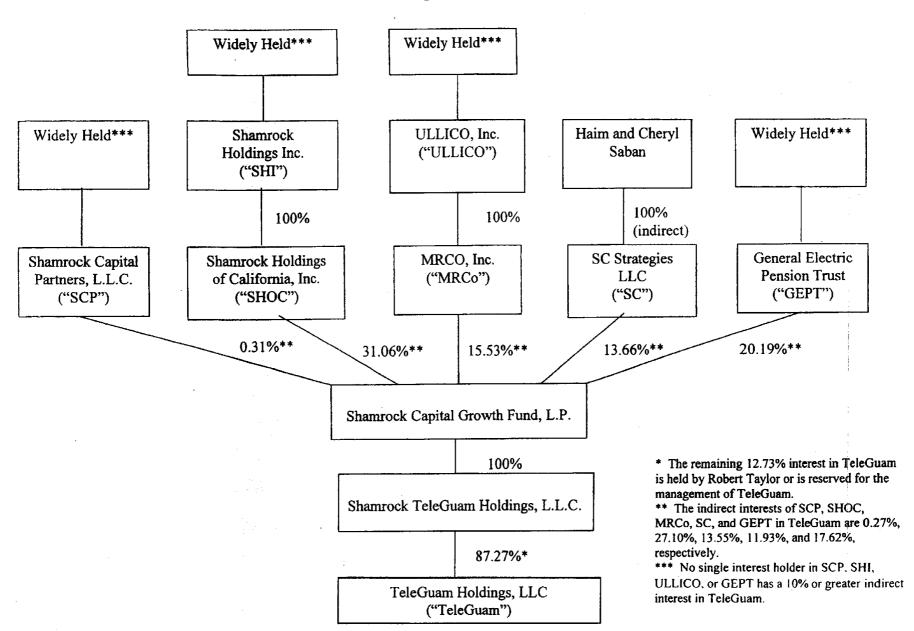
3000 K Street, N.W., Suite 300 Washington, D.C. 20007-5116

Tel: (202) 424-7500 Fax: (202) 424-7645

Counsel to TeleGuam Holdings, LLC

#### ATTACHMENT A

#### TeleGuam Holdings, LLC Ownership Chart



## Certification of The Guam Telephone Authority

#### **CERTIFICATION**

On behalf of The Guam Telephone Authority ("GTA") and in accordance with Section 1.2001-1.2003 of the Commission's Rules, 47 CFR §§ 1.2001-1.2003, I hereby certify that neither GTA nor any party to this application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988. See 21 USC § 853a. I also certify that the statements in the foregoing application as they pertain to GTA are true, complete, and correct to the best of my knowledge and are made in good faith.

#### THE GUAM TELEPHONE AUTHORITY

By:

Name:

Lawrence P. Perez

Title:

Interim General Manager

Date:

September 14, 2004

## Certification of TeleGuam Holdings, LLC

#### **CERTIFICATION**

On behalf of TeleGuam Holdings, LLC ("TeleGuam") and in accordance with Section 1.2001-1.2003 of the Commission's Rules, 47 CFR §§ 1.2001-1.2003, I hereby certify that neither TeleGuam nor any party to this application is subject to a denial of Federal benefits that includes FCC benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988. See 21 USC § 853a. I also certify that the statements in the foregoing application as they pertain to TeleGuam are true, complete, and correct to the best of my knowledge and are made in good faith.

TELEGUAM HOLDINGS, LLC

By:

Name:

ephen D. Köyei

Title:

Vice President

Date:

9/14/04

### FEDERAL COMMUNICATIONS COMMISSION REMITTANCE ADVICE

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ACCOUNT NUMBER 4488 1310 0128 3908 EXPIRATION DATE 04-06						
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SIGNATURE Marietta K. Britt DATE 9/14/04						
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